



BOX PATENT OIP E

Attorney Docket No.: 2290

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:  
Joseph P. STEINER  
Gregory S. HAMILTON

Group Art Unit: 1614

Serial No. 09/784,174

Filed: February 16, 2001

For: **SMALL MOLECULE PIPECOLIC ACID DERIVATIVE HAIR GROWTH  
COMPOSITIONS AND USES**

Receipt  
RECEIVED  
SEP 06 2001  
TECH CENTER 1600/2900

TRANSMITTAL LETTER

Commissioner for Patents  
Office of Initial Patent Examination Customer Service Center  
Washington, D.C. 20231

Sir:

Submitted herewith for filing in the U.S. Patent and Trademark Office is the following:

- (1) Transmittal Letter;
- (2) Request for Official Corrected Filing Receipt; and
- (3) Copy of Filing Receipt with corrections marked in red.

Respectfully submitted,

**NATH & ASSOCIATES PLLC**

By: \_\_\_\_\_

*Gary M. Nath*  
Gary M. Nath  
Registration No. 26,965  
Lee C. Heiman  
Registration No. 41,827  
Customer No. 20529

Date: May 29, 2001  
NATH & ASSOCIATES PLLC  
1030 15<sup>th</sup> Street N.W., 6<sup>th</sup> Floor  
Washington, D.C. 20005  
(202)-775-8383  
(202)-775-8396 fax  
GMN/LCH/jnr:CorrFilRec.TRANS



BOX PATENT-8 PE

Attorney Docket No.: 22903X-T

TECH CENTER 1600/2900

SEP 06 2001

RECEIVED

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:  
Joseph P. STEINER  
Gregory S. HAMILTON

Group Art Unit: 1614

Serial No. 09/784,174

Filed: February 16, 2001

For: **SMALL MOLECULE PIPECOLIC ACID DERIVATIVE HAIR GROWTH  
COMPOSITIONS AND USES**

REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT

Commissioner for Patents  
Office of Initial Patent Examination Customer Service Center  
Washington, D.C. 20231

Sir:

1. Attached is a copy of the Official Filing Receipt received from the USPTO in the above application for which issuance of a corrected filing receipt is respectfully requested.

2. There is an error with respect to the following data, which is:

  X   incorrectly entered

       omitted

Error in:

Correct Data:

       Applicant's name

       Applicant's address

       Title

       Filing Date

       Serial Number

       Foreign/PCT Application Re:

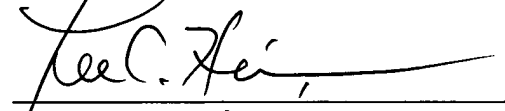
X Other-Domestic Priority Data "This application is a  
Divisional of U.S.  
Application No.  
09/089,373, filed  
06/03/1998, now U.S.  
Patent No. 6,191,125"

Please refer to Applicant's Preliminary Amendment, filed  
February 16, 2001, as the basis for this correction.

Should any questions or comments arise in connection with the  
filing of this Request, Applicant respectfully requests a telephone  
call to the undersigned attorney at the telephone number as shown.

Respectfully submitted,

**NATH & ASSOCIATES PLLC**



Gary M. Nath  
Registration No. 26,965  
Lee C. Heiman  
Registration No. 41,827  
Customer No. 20529

Date: May 29, 2001

**NATH & ASSOCIATES PLLC**  
1030 15<sup>th</sup> Street NW, 6<sup>th</sup> Floor  
Washington, D.C. 20005-1503  
(202)-775-8383  
(202)-775-8396 fax  
GMN/LCH/jnr:CorrFilRec.Request.



UNITED STATES PATENT AND TRADEMARK OFFICE

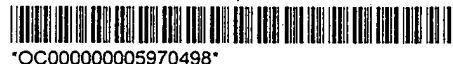
Page 1 of 4  
**RECEIVED**  
SEP 06 2001  
TECH CENTER 1600/2000  
COMMISSIONER OF PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/784,174	02/16/2001	1614	710	22903XA-T	4	3	3

CONFIRMATION NO. 1443

Gary M. Nath  
NATH & ASSOCIATES PLLC  
1030 15th Street, N.W. - 6th Floor  
Washington, DC 20005

FILING RECEIPT



\*OC000000005970498\*

Date Mailed: 04/16/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Joseph P. Steiner, Finksburg, MD;  
Gregory S. Hamilton, Catonsville, MD;

Assignment For Published Patent Application

GPI NIL Holdings, Inc., Wilmington, DE;

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A ~~CONT~~ <sup>DIVISIONAL</sup> OF 09/089,373 06/03/1998 PAT 6,191,125

~~WHICH IS A CIP OF 08/869,426 06/04/1997 PAT 5,945,441~~

Foreign Applications

If Required, Foreign Filing License Granted 04/13/2001

Projected Publication Date: To Be Determined - pending completion of Corrected Papers

Non-Publication Request: No

Early Publication Request: No

**Title**

Small molecule pipecolic acid derivative hair growth compositions and uses

**Preliminary Class**

514

---

**Data entry by :** ZEWDIE, BROUKTAWIT

**Team :** OIPE

**Date:** 04/16/2001

**LICENSE FOR FOREIGN FILING UNDER**  
**Title 35, United States Code, Section 184**  
**Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15 (b).

**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov



Bib Data Sheet

**FILE COPY**

CONFIRMATION NO. 1443

<b>SERIAL NUMBER</b> 09/784,174	<b>FILING DATE</b> 02/16/2001 <b>RULE</b>	<b>CLASS</b> 514	<b>GROUP ART UNIT</b> 1614	<b>ATTORNEY DOCKET NO.</b> 22903XA-T
------------------------------------	---	---------------------	-------------------------------	---

**APPLICANTS**  
Joseph P. Steiner, Finksburg, MD;  
Gregory S. Hamilton, Catonsville, MD;

**\*\* CONTINUING DATA \*\*\*\*\***  
THIS APPLICATION IS A DIV OF 09/089,373 06/03/1998 PAT 6,191,125

**\*\* FOREIGN APPLICATIONS \*\*\*\*\***

**IF REQUIRED, FOREIGN FILING LICENSE GRANTED**  
\*\* 04/13/2001

Foreign Priority claimed 35 USC 119 (a-d) conditions met Verified and Acknowledged	<input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance Examiner's Signature _____ Initials _____	<b>STATE OR COUNTRY</b> MD	<b>SHEETS DRAWING</b> 4	<b>TOTAL CLAIMS</b> 3	<b>INDEPENDENT CLAIMS</b> 3
--	--	-------------------------------	----------------------------	--------------------------	--------------------------------

**ADDRESS**  
Gary M. Nath  
NATH & ASSOCIATES PLLC  
1030 15th Street, N.W. - 6th Floor  
Washington ,DC 20005

**TITLE**  
Small molecule pipecolic acid derivative hair growth compositions and uses

<b>FILING FEE RECEIVED</b> 710	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	<input type="checkbox"/> All Fees
		<input type="checkbox"/> 1.16 Fees ( Filing )
		<input type="checkbox"/> 1.17 Fees ( Processing Ext. of time )
		<input type="checkbox"/> 1.18 Fees ( Issue )
		<input type="checkbox"/> Other _____
		<input type="checkbox"/> Credit